

PATENT DS

Attorney Docket No. DKT 99083 | -21-04

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	) <u>CERTIFICATE OF MAILING</u>	
	)	
John A. Hummel	) I hereby certify that this correspondence	e is
Harold J. Fraboni	) being deposited with the United States	
	) Postal Service, postage prepaid, first cla	iss
Serial No. 09/840,434	) mail in an envelope addressed to:	
	) Commissioner for Patents, P.O. Box 14	50
Filed: April 23, 2001	) Alexandria, VA 22313-1450 on	
	)	
For: Back Drive Silent Chain System	) January 5, 2004	_
With Low Profile Sprocket	) // 0//	
Examiner: Vicky A. Johnson	) Sten / Harpto	_
	) Stever/T. Hampton	
Group Art Unit: 3682	) Reg. No. 33,707	
	)	

## TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AFTER APPLICATION FILING DATE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### **ENCLOSURES**

Enclosed are the following:

JAN 1 2 2004 GROUP 3600

- \* A completed PTO form 1449 (modified) which has one (1) page.
- \* A copy of each printed or patented reference listed in the enclosed form PTO/SB/08A and not previously provided to or by the PTO in this application. Six (6) reference are enclosed.
- \* A copy of the European Search Report for the European patent application, No. EP 02252421, dated November 20, 2003, corresponding to the above application.

#### **FEE DETERMINATION**

- \* No fee is believed to be due because:
  - \* In accordance with 37 CFR §1.97(e), each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this statement.

#### **FEE PAYMENT**

The following arrangements have been made to pay the fees calculated above:

\* No fee is believed to be due.

The Commissioner is hereby authorized to charge any additional fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

### REQUEST FOR CONSIDERATION

This paper and enclosures are believed to be entitled to consideration under 37 C.F.R. § 1.97, based on the facts stated above. The Examiner is requested to initial both copies of the enclosed PTO-1449 (modified) and return one copy to the applicants to indicate consideration of the enclosed references.

Respectfully submitted,

Dated: 1/5/04

Steven J. Hampton Reg. No. 33,707

Attorney for applicants

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PTO/SB/08A (08-03)

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Sobstitute for form 149A/PTO

# TNFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known			
Application Number	09/840,434		
Filing Date	April 23, 2001		
First Named Inventor	Hummel et al.		
Group Art Unit	3682		
Examiner Name	Vicky A. Johnson		
Attorney Docket Number	DKT 99083		

	U.S. PATENT DOCUMENTS				
Examiner Initial*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	1,956,942	05/01/1934	W.J.Belcher et al.	
	A2	2,056,602	10/06/1936	R.W.Dull et al.	Column 2, line 18-line 62; figures 6,10
	A3	2,631,460	03/17/1953	S.T.Transeau	Column 3, line 63-column 4, line 65 ; figure 1
	A4	4,058,021	11/15/1977	Wood	
	A5	5,967,926	10/19/1999	Kozakura et al.	
	A6				
	A7				
	A8				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	A9	EP 0926394	06/30/1999	Tsubakimoto Chain Co	Paragraphs '0002!- '0011!, figures 10,11	
	A10					

OTHER ART NON PATENT LITERATURE DOCUMENTS				
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
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	A12	DEGENIE		
	A13	RECEIVE		
	A14	4894 1 0 0004		
	A15	JAN 1 2 2004		

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EXAMINER	DATE CONSIDERED	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.